

## The Selfish Gene

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### The Replicators

In the beginning was simplicity. It is difficult enough explaining how even a simple universe began. I take it as agreed that it would be even harder to explain the sudden springing up, fully armed, of complex order--life, or a being capable of creating life. Darwin's theory of evolution by natural selection is satisfying because it shows us a way in which simplicity could change into complexity, how unordered atoms could group themselves into ever more complex patterns until they ended up manufacturing people. Darwin provides a solution, the only feasible one so far suggested, to the deep problem of our existence. I will try to explain the great theory in a more general way than is customary, beginning with the time before evolution itself began.

Darwin's 'survival of the fittest' is really a special case of a more general law of *survival of the stable*. The universe is populated by stable things. A stable thing is a collection of atoms which is permanent enough or common enough to deserve a name. It may be a unique collection of atoms, such as the Matterhorn, which lasts long enough to be worth naming. Or it may be a *class* or entities, such as rain drops, which come into existence at a sufficiently high rate to deserve a collective name, even if any one of them is short-lived. The things which we see around us, and which we think of as needing explanation--rocks, galaxies, ocean waves--are all, to a greater or lesser extent, stable patterns of atoms. Soap bubbles tend to be spherical because this is a stable configuration for thin films filled with gas. In a spacecraft, water is also stable in spherical globules, but on earth, where there is gravity, the stable surface for standing water is flat and horizontal. Salt crystals tend to be cubes because this is a stable way of packing sodium and chloride ions together. In the sun the simplest atoms of all, hydrogen atoms, are fusing to form helium atoms, because in the conditions which prevail there the helium configuration is more stable. Other even more complex atoms are being formed in stars all over the universe, and were formed in the 'big bang' which, according to the prevailing theory, initiated the universe. This is originally where the elements on our world came from.

Sometimes when atoms meet they link up together in a chemical reaction to form molecules, which may be more or less stable. Such molecules can be very large. A crystal such as a diamond can be regarded as a single molecule, a proverbially stable one in this case, but also a very simple one since its internal atomic structure is endlessly repeated. In modern living organisms there are other large molecules which are highly complex, and their complexity shows itself on several levels. The hemoglobin of our blood is a typical protein molecule. It is built up from chains of smaller molecules, amino acids, each containing a few dozen atoms arranged in a precise pattern. In the hemoglobin molecule there are 574 amino acid molecules. These are arranged in four chains, which twist around each other to form a globular three-dimensional structure of bewildering complexity. A model of a hemoglobin molecule looks rather like a dense thorn bush. But unlike a real thorn bush it is not a haphazard approximate pattern but a definite invariant structure, identically repeated, with not a twig nor a twist out of place, over six thousand million million times in an average human body. The precise thorn bush shape of a protein molecule such as hemoglobin is stable in the sense that two chains consisting of the same sequences of amino acids will tend, like two springs, to come to rest in exactly the same three-dimensional coiled pattern. Hemoglobin thorn bushes are springing into their 'preferred' shape in your body at a rate of about four hundred million million per second, and others are being destroyed at the same rate.

Hemoglobin is a modern molecule, used to illustrate the principle that atoms tend to fall into stable patterns. The point that is relevant here is that, before the coming of life on earth, some rudimentary evolution of molecules could have occurred by ordinary processes of physics and chemistry. There is no need to think of design or purpose or directedness. If a group of atoms in the presence of energy falls into a stable pattern it will tend to stay that way. The earliest form of natural selection was imply a selection of stable forms and a rejection of unstable ones. There is no mystery about this. It had to happen by definition.

From this, of course, it does not follow that you can explain the existence of entities as complex as man by exactly the same principles on their own. It is no good taking the right number of atoms and shaking them together with some external energy till they happen to fall into the right pattern, and out drops Adam! You may make a molecule consisting of a few dozen atoms like that, but a man consists of over a thousand million million million million atoms. To try to make a man, you would have to work at your biochemical cocktail-shaker for a period so long that the entire age of the universe would seem like an eye-blink, and even then you would not succeed. This is where Darwin's theory, in its most general form, comes to the rescue. Darwin's theory takes over from where the story of the slow building up of molecules leaves off.

The account of the origin of life which I shall give is necessarily speculative; by definition, nobody was around to see what happened. There are a number of rival theories, but they all have certain features in common. The simplified account I shall give is probably not too far from the truth.

We do not know what chemical raw materials were abundant on earth before the coming of life, but among the plausible possibilities are water, carbon dioxide, methane, and ammonia: all simple compounds

known to be present on at least some of the other planets in our solar system. Chemists have tried to imitate the chemical conditions of the young earth. They have put these simple substances in a flask and supplied a source of energy such as ultraviolet light or electric sparks--artificial simulation of primordial lightning. After a few weeks of this, something interesting is usually found inside the flask: a weak brown soup containing a large number of molecules more complex than the ones originally put in. In particular, amino acids have been found--the building blocks of proteins, one of the two great classes of biological molecules. Before these experiments were done, naturally-occurring amino acids would have been thought of as diagnostic of the presence of life. If they had been detected on, say Mars, life on that planet would have seemed a near certainty. Now, however, their existence need imply only the presence of a few simple gases in the atmosphere and some volcanoes, sunlight, or thundery weather. More recently, laboratory simulations of the chemical conditions of earth before the coming of life have yielded organic substances called purines and pyrimidines. These are building blocks of the genetic molecule, DNA itself.

Processes analogous to these must have given rise to the 'primeval soup' which biologists and chemists believe constituted the seas some three to four thousand million years ago. The organic substances became locally concentrated, perhaps in drying scum round the shores, or in tiny suspended droplets. Under the further influence of energy such as ultraviolet light from the sun, they combined into larger molecules. Nowadays large organic molecules would not last long enough to be noticed: they would be quickly absorbed and broken down by bacteria or other living creatures. But bacteria and the rest of us are late-comers, and in those days large organic molecules could drift unmolested through the thickening broth.

At some point a particularly remarkable molecule was formed by accident. We will call it the *Replicator*. It may not necessarily have been the biggest or the most complex molecule around, but it had the extraordinary property of being able to create copies of itself. This may seem a very unlikely sort of accident to happen. So it was. It was exceedingly improbable. In the lifetime of a man, things which are that improbable can be treated for practical purposes as impossible. That is why you will never win a big prize on the football pools. But in our human estimates of what is probable and what is not, we are not used to dealing in hundreds of millions of years. If you filled in pools coupons every week for a hundred million years you would very likely win several jackpots.

Actually a molecule which makes copies of itself is not as difficult to imagine as it seems at first, and it only had to arise once. Think of the replicator as a mould or template. Imagine it as a large molecule consisting of a complex chain of various sorts of building block molecules. The small building blocks were abundantly available in the soup surrounding the replicator. Now suppose that each building block has an affinity for its own kind. Then whenever a building block from out in the soup lands up next to a part of the replicator for which it has an affinity, it will tend to stick there. The building blocks which attach themselves in this way will automatically be arranged in a sequence which mimics that of the replicator itself. It is easy then to think of them joining up to form a stable chain just as in the formation of the original replicator. This process could continue as a progressive stacking up, layer upon layer. This is how crystals are formed. On the other hand, the two chains might split apart, in which case we have two replicators, each of which can go on to make further copies.

A more complex possibility is that each building block has affinity not for its own kind, but reciprocally for one particular other kind. Then the replicator would act as a template not for an identical copy, but for a kind of 'negative', which would in its turn remake an exact copy of the original positive. For our purposes it does not matter whether the original replication process was positive-negative or positive-positive, though it is worth remarking that the modern equivalents of the first replicator, the DNA molecules, use positive-negative replication. What does matter is that suddenly a new kind of 'stability' came into the world. Previously it is probable that no particular kind of complex molecule was very abundant in the soup, because each was dependent on building blocks happening to fall by luck into a particular stable configuration. As soon as the replicator was born it must have spread its copies rapidly throughout the seas, until the smaller building block molecules became a scarce resource, and other larger molecules were formed more and more rarely.

So we seem to arrive at a large population of identical replicas. But now we must mention an important property of any copying process: it is not perfect. Mistakes will happen. I hope there are no misprints in this book, but if you look carefully you may find one or two. They will probably not seriously distort the meaning of the sentences, because they will be 'first generation' errors. But imagine the days before printing, when books such as the gospels were copied by hand. All scribes, however careful, are bound to make a few errors, and some are not above a little willful 'improvement'. If they all copied from a single master original, meaning would not be greatly perverted. But let copies be made from other copies, which in their turn were made from other copies, and errors will start to become cumulative and serious. We tend to regard erratic copying as a bad thing, and in the case of human documents it is hard to think of examples where errors can be described as improvements. I suppose the scholars of the Septuagint could at least be said to have started something big when they mistranslated the Hebrew word for 'young woman' into the Greek word for 'virgin',

coming up with the prophecy: 'Behold a virgin shall conceive and bear a son....' anyway, as we shall see, erratic copying in biological replicators can in a real sense give rise to improvement, and it was essential for the progressive evolution of life that some errors were made. we do not know how accurately the original replicator molecules made their copies. Their modern descendants, the DNA molecules, are astonishingly faithful compared with the most high fidelity human copying process, but even they occasionally make mistakes, and it is ultimately these mistakes which make evolution possible. Probably the original replicators were far more erratic, but in any case we may be sure that mistakes were made, and these mistakes were cumulative.

As miscopyings were made and propagated, the primeval soup became filled by a population not of identical replicas, but of several varieties of replicating molecules, all 'descended' from the same ancestor. Would some varieties have been more numerous than others? almost certainly yes. Some varieties would have been inherently more stable than others. certain molecules, once formed, would be less likely than others to break up again. these types would become relatively numerous in the soup, not only as a direct logical consequence of their 'longevity', but also because they would therefore tend to become more numerous and, other things being equal, there would have been an 'evolutionary trend' towards greater longevity in the population of molecules.

But other things were probably not equal, and another property of a replicator variety which must have had even more importance in spreading it through the population was speed of replication or 'fecundity'. If replicator molecules of type A make copies of themselves on average once a week while those of type B make copies of themselves once an hour, it is not difficult to see that pretty soon type A molecules are going to be far outnumbered, even if they 'live' much longer than B molecules. there would therefore probably have been an 'evolutionary trend' toward higher 'fecundity' of molecules in the soup. a third characteristic of replicator molecules which would have been positively selected is accuracy of replication. If molecules of type X and type Y last the same length of time and replicate at the same rate, but X makes a mistake on average every tenth replication while Y makes a mistake only every hundredth replication, Y will obviously become more numerous. The X contingent in the population loses not only the errant 'children' themselves, but also their descendants, actual or potential.

If you already know something about evolution, you may find something slightly paradoxical about the last point. Can we reconcile the idea that copying errors are an essential prerequisite for evolution to occur, with the statement that natural selection favors high copying-fidelity? The answer is that although evolution may seem, in some vague sense, a 'good thing', especially since we are the product of it, nothing actually 'wants' to evolve. Evolution is something that happens, willy-nilly, in spite of all the efforts of the replicators (and nowadays of the genes) to prevent it happening. Jacques Monod made this point very well in his Herbert Spencer lecture, after wryly remarking: 'Another curious aspect of the theory of evolution is that everybody thinks he understands it!'

To return to the primeval soup, it must have become populated by stable varieties of molecule; stable in that either the individual molecules lasted a long time, or they replicated rapidly, or they replicated accurately. Evolutionary trends toward these three kinds of stability took place in the following sense: if you had sampled the soup at two different times, the later sample would have contained a higher proportion of varieties with high longevity/fecundity/copying-fidelity. this is essentially what a biologist means by evolution when he is speaking of living creatures, and the mechanism is the same--natural selection.

Should we then call the original replicator molecules 'living'? Who cares? I might say to you 'Darwin was the greatest man who has ever lived', and you might say 'No, Newton was', but I hope we would not prolong the argument. The point is that no conclusion of substance would be affected whichever way our argument was resolved. the facts of the lives and achievements of Newton and Darwin remain totally unchanged whether we label them 'great' or not. Similarly, the story of the replicator molecules probably happened something like the way I am telling it, regardless of whether we choose to call them 'living'. Human suffering has been caused because too many of us cannot grasp that words are only tools for our use, and that mere presence in the dictionary of a word like 'living' does not mean it necessarily has to refer to something definite in the real world. Whether we call the early replicators living or not, they were the ancestors of life; they were our founding fathers.

The next important link in the argument, one which Darwin himself laid stress on (although he was talking about animals and plants, not molecules), is *competition*. The primeval soup was not capable of supporting an infinite number of replicator molecules. for one thing, the earth's size is finite, but other limiting factors must also have been important. In our picture of the replicator acting as a template or mould, we supposed it to be bathed in a soup rich in the small building block molecules necessary to make copies. but when the replicators became numerous, building blocks must have been used up at such a rate that they became a scarce and precious resource. Different varieties or strains of replicator must have competed for them. we have considered the factors which would have increased the numbers of favored kinds of replicator. We can now see that less favoured varieties must actually have become less numerous because of competition, and ultimately many of

their lines must have gone extinct. there was a struggle for existence among replicator varieties. they did not know they were struggling, or worry about it; the struggle was conducted without any hard feelings, indeed without feelings of any kind. but they were struggling, in the sense that any miscopying which resulted in a new higher level of stability, or a new way of reducing the stability of rivals, was automatically preserved and multiplied. the process of improvement was cumulative. Ways of increasing stability and of decreasing rivals' stability became more elaborate and more efficient. some of them may even have 'discovered' how to break up molecules of rival varieties chemically, and to use the building blocks so released for making their own copies. these proto-carnivores simultaneously obtained food and removed competing rivals. Other replicators perhaps discovered how to protect themselves, either chemically, or by building a physical wall of protein around themselves. This may have been how the first living cells appeared. Replicators began not merely to exist, but to construct for themselves containers, vehicles for their continued existence. The replicators which survived were the ones which built *survival machines* for themselves to live in. The first survival machines probably consisted of nothing more than a protective coat. but making a living got steadily harder as new rivals arose with better and more effective survival machines. survival machines got bigger and more elaborate, and the process was cumulative and progressive.

Was there to be any end to the gradual improvement in the techniques and artifices used by the replicators to ensure their own continuance in the world? there would be plenty of time for improvement. What weird engines of self-preservation would the millennia bring forth? four thousand million years on, what was to be the fate of the ancient replicators? they did not die out, for they are past masters of the survival arts. But do not look for them floating loose in the sea; they gave up that cavalier freedom long ago. now they swarm in huge colonies, safe inside gigantic lumbering robots, sealed off from the outside world, communicating with it by tortuous indirect routes, manipulating it by remote control. They are in you and in me; they created us, body and mind; and their preservation is the ultimate rationale for our existence. they have come a long way, those replicators. Now they go by the name of genes, and we are their survival machines.